Third party authority form

Mason Stevens Pty Limited ABN 91 141 447 207 AFSL 351578

Use this form to authorise a person to view your Mason Stevens account by specifying the level of authority you wish to give them. Please note "full transact" access includes transferring cash out of your Mason Stevens account to your nominated bank accounts.

We are unable to provide login for RS Super accounts through this form. Please contact admin@mssuper.com.au and wealth@masonstevens.com.au for all Super related Third Party Authority requests.

Your account details 1

Account number

Account name

Read Only Access 2

Read Only access grants the recipient access to view the account and extract reports but does not allow transactions. It does not give you authority over the account.

Authorised person 1

☐ Mr ☐ Ms ☐ Mrs ☐ Miss ☐ Dr ☐ Other

First name

Surname

Mobile

Email

Authorised person 2

☐ Mr ☐ Ms ☐ Mrs ☐ Miss ☐ Dr ☐ Other

First name

Surname

Mobile

Email

Authorised person 3

☐ Mr ☐ Ms ☐ Mrs ☐ Miss ☐ Dr ☐ Other

First name

Surname

Mobile

Email

Full Access 3

Full Access grants the recipient access to the full use of and authority over the account (subject to their role on the account), This can include transacting on the account. Advisers and support staff cannot use this form and must instead sign a distribution agreement. Please contact weatlh@masonstevens.com.au.

Authorised Person 1 – Full access

☐ Read only ☐ Full Access with transact

☐ Mr ☐ Ms ☐ Mrs ☐ Miss ☐ Dr ☐ Other

First name | Surname

Occupation | Date of Birth

Town/ City of birth | Country of birth

Residential address (PO box is not acceptable)

Suburb | State | Postcode

Country

Mobile | Email

Are you an Australia Resident for tax purposes: ☐ Yes ☐ No

It is not compulsory to provide your Australian TFN. However, individuals who do not provide a TFN (or an ABN for non-individual investors, i.e., entities), or alternatively provide exemption details, may have tax deducted from any income at the highest marginal tax rate plus the Medicare Levy or any other applicable levies/taxes.

Tax File Number

Are you a US citizen or a resident of another country/jurisdiction (excluding Australia) for tax purposes? ☐ Yes ☐ No

If so, please specify Country and TIN (if available)

Identity verification: (Please attach an accepted certified proof of identification[1]. This must have been certified within the last 12 months and not expired). Accepted certified proof of identification are:

☐ Current Australian driver's licence

☐ Australian passport

☐ State or Territory proof of age card

☐ Foreign passport or similar travel document

Authorised Person 2 – Full access

☐ Read only ☐ Full Access with transact

☐ Mr ☐ Ms ☐ Mrs ☐ Miss ☐ Dr ☐ Other

First name | Surname

Occupation | Date of Birth

Town/ City of birth | Country of birth

Residential address (PO box is not acceptable)

Suburb | State | Postcode

Country

Mobile | Email

Are you an Australia Resident for tax purposes: ☐ Yes ☐ No

It is not compulsory to provide your Australian TFN. However, individuals who do not provide a TFN (or an ABN for non-individual investors, i.e., entities), or alternatively provide exemption details, may have tax deducted from any income at the highest marginal tax rate plus the Medicare Levy or any other applicable levies/taxes.

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☐ Australian passport

☐ State or Territory proof of age card

☐ Foreign passport or similar travel document

Authorised Person 3 – Full access

☐ Read only ☐ Full Access with transact

☐ Mr ☐ Ms ☐ Mrs ☐ Miss ☐ Dr ☐ Other

First name | Surname

Occupation | Date of Birth

Town/ City of birth | Country of birth

Residential address (PO box is not acceptable)

Suburb | State | Postcode

Country

Mobile | Email

Are you an Australia Resident for tax purposes: ☐ Yes ☐ No

It is not compulsory to provide your Australian TFN. However, individuals who do not provide a TFN (or an ABN for non-individual investors, i.e., entities), or alternatively provide exemption details, may have tax deducted from any income at the highest marginal tax rate plus the Medicare Levy or any other applicable levies/taxes.

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☐ State or Territory proof of age card

☐ Foreign passport or similar travel document

[1] Certified copies (no more than 12 months old) of these documents must have the certifier's signature, printed name, date, qualification and the following statement: "I, [full name], as [category of persons listed below], certify that this [name of document] is a true and correct copy of the original". Documents may be certified by a lawyer, judge, magistrate, a chief executive officer of a Commonwealth court, justice of the peace, notary public, police officer, an agent of the Australian postal corporation who is in charge of an office supplying postal services to the public with two or more years' experience), an Australian consular officer or an Australian diplomatic officer, an officer with two or more continuous years of service with a financial institution, an officer with, or authorised representative of, a holder of an Australian financial services licence, having two or more continuous years of service with one or more licensees, a member of the Institute of Chartered Accountants Australia & New Zealand, CPA Australia or the National Institute of Accountants with two or more years of continuous membership.

Where any document relied on as part of the procedure is in a language that is not English, it must be accompanied by an English translation prepared by an accredited translator.

Any certified documents must be clear and legible to be accepted.

For joint accounts, all account holders must sign. For company and trust accounts two directors, trustees or the sole director must sign.

This form must contain an original signature(s).

Signature of Individual 1/ Trustee 1/ Director 1

Signature of Individual 2/ Trustee 2/ Director 2

Full name

Full name

Date

DD / MM / YYYY

Date

DD / MM / YYYY

Terms and conditions 4

Background

1. "Mason Stevens" refers to Mason Stevens Limited, Mason Stevens Super and every other member of Mason Stevens, their employees and agents.
2. "Third Party" refers to any other party that you give access to view your Mason Stevens account online, including companies, firms or sole traders. If applicable "Third Party" may also refer to administrative staff of the company or firm.

Conditions of use

1. You authorise a Third Party to view the information of your Mason Stevens account on the Mason Stevens platform.
2. You authorise the Third Party to use the Mason Stevens service to view or transact on your Mason Stevens account, if permitted by Mason Stevens:
   a. for legitimate purposes, and
   b. in accordance with the terms and conditions of use, and
   c. not to interfere with or damage (or attempt to interfere or damage) any code, data, or software associated with Mason Stevens platform.
3. You will notify Mason Stevens immediately if:
   a. you suspect the third party is using the access without your authorisation, or
   b. you believe the third party is breaching any of these conditions of use.
4. You:
   a. release, discharge and indemnify Mason Stevens and each other persons acting on behalf of them respectively (and all of their respective successors and assignees) from and against all losses, actions, liabilities, claims, demands and proceedings arising from your appointment of a third party from time to time, and all acts, matters and things done or purported to be done by the third party even if not actually authorised by you or you have not notified Mason Stevens of any limitation or revocation of their authority to act on your behalf.
   b. release us from claims and liabilities in connection with any act or omission relating to the appointment of a third party to your Mason Stevens account.
5. Anything associated with or available through Mason Stevens platform belongs to Mason Stevens or other third persons and is protected by intellectual property rights.
6. Mason Stevens will use reasonable efforts to provide (but does not warrant that it will provide):
   a. access to Mason Stevens platform for the third party at all reasonable times, and
   b. reliable data and information, to the extent that it is within its control. Mason Stevens takes no responsibility for the reliability of data and information outside its control.

7. Where you give authority to a company, firm or sole trader:
    a. the company, firm, or sole trader will give us details of people who can view your Mason Stevens account on their/its behalf
    b. you acknowledge and agree that the company, firm, or sole trader may do this
    c. the company or firm must immediately notify us in writing if any nominated person no longer has authority. If we have not been advised in writing that the person no longer has authority, then that person may still view or transact on your Mason Stevens account and you cannot claim that he or she is not acting for you until we are notified by the company or firm in writing.
8. Subject to conditions and warranties implied by legislation,

    Mason Stevens excludes:
    a. liability for any delay, interruption, or unavailability of Mason Stevens platform and for any inaccuracy or incompleteness of data provided to a third party and available online, and
    b. all terms implied by statute, general law, or custom except ones that may not be excluded. If Mason Stevens breaches any condition or warranty implied by legislation into a contract with a consumer, Mason Stevens limits its liability for that breach to a resupply of the goods or services in respect of which the breach occurred.
9. Mason Stevens reserves the right to:
    a. change any of these conditions of use at any time and you agree to comply with those changes from the time you are notified (which may be by a notice on the Mason Stevens website or by any other form of notice), and
    b. suspend or cancel the appointment of a third party as an authority on your Mason Stevens account without telling you in writing.
10. This authority takes effect on the date that we amend our records to note the appointment and continues until you cancel it by telling us in writing. Cancellation also takes effect on the date that we amend our records to note the change.

General terms which apply to full transact access

1. Only you may appoint a third party to access or withdraw from your Mason Stevens account.
2. If you appoint a third party to withdraw from your Mason Stevens account, they will automatically be able to enquire on your Mason Stevens account, also.
3. Withdrawals may be for any amount and may be made at varying intervals of time.
4. You:
    a. indemnify us against all loss, liabilities and costs incurred directly or indirectly as a result of the appointment of a third party
    b. indemnify us against all loss, liabilities and costs incurred directly or indirectly in connection with any action by a third party under their appointment or any payment made from your Mason Stevens account, on their instruction
    c. release us from all claims and liabilities in connection with any act or omission relating to the appointment of a third party to your Mason Stevens account, and
    d. release us from all claims and liabilities in connection with any action by a third party under their appointment or any payment made from your Mason Stevens account on their instruction.
5. However, we remain liable for any loss or liability which, by operation of law we cannot exclude.
6. This authority takes effect on the date that we amend our records to note the appointment and continues until you cancel it by notifying us in writing. Cancellation also takes effect on the date that we amend our records to note the change.
7. We may cancel the appointment of a third party as an authority on your Mason Stevens account, by telling you in writing.
8. In the event of the death of a Mason Stevens account holder, the authority given under this form will automatically terminate.
9. Third parties may set up Direct Debits on your Mason Stevens account.
10. Third parties will not be able to amend nominated bank account details on your Mason Stevens account.