


# Change of adviser form

Mason Stevens Limited ABN 91 141 447 207 AFSL 351578

Mason Stevens Super ABN 34 422 545 198 RSE Registration Number R1004168

Trustee: Diversa Trustees Limited ABN 49 006 421 638 RSE License Number L0000635 AFSL License 235153

 **This form can be used for both Investment Service and Super/Pension. Use this form if you are an existing investor/member and want to change your financial adviser and change or transfer existing ongoing adviser fee arrangements.**

## Account details

**1**

Account number

Account name

## New adviser details

**2**

Adviser name

Dealer Group name

## Adviser fees

**3**

 **The fees exclude GST. Mason Stevens will apply GST net the effect of any reduced input credits to the amount you indicate below.**

Transfer the entitlement to all current ongoing adviser fees to the financial adviser nominated above. I consent to, authorise and request, the Trustee to deduct the adviser fees from my account and to pay those amounts the adviser nominated above. I confirm that this fee only relates to the services my financial adviser will provide me in relation to my Mason Stevens account.

Set the adviser fees on the above account to zero.

Apply the new adviser fees outlined below:

### Initial adviser fee

\$  (ex-GST)

### Ongoing adviser fee (p.a.)

Self-directed portfolio

\$ or  %  (ex-GST)

Managed Portfolio

%  (ex-GST)



I declare and agree that:

- » I am bound by the Mason Stevens Super Access Terms, that I have agreed to when I became a member of Mason Stevens Super.
- » My adviser has provided me with professional advice that is suitable to my needs, and has included formulation of an investment strategy that has taken into consideration my personal objectives, financial situation and needs.
- » I have reviewed and approve of the adviser fees to be deducted from my account as agreed with my adviser.
- » I authorise the Trustee (and each other person acting on behalf of the Trustee) to pay those amounts from my account to my adviser (until notified in writing otherwise).
- » I consent to my adviser receiving information on my behalf.
- » I authorise my adviser to submit investment instructions to the Trustee on my behalf and I authorise and direct the Trustee to act on investment instructions from my adviser including:
  - investment of monies held in my account;
  - switching between investment strategies, investments and investment options;
  - making elections on dividend or distribution re-investment (if applicable); and
  - making elections in the case of corporate actions in respect of investments held (if applicable).
- » My authorisation to my adviser includes the power to delegate from time to time to one or more staff members employed by the adviser or the same business that employs my adviser, but only on the basis that the adviser remains responsible for the conduct of the adviser’s delegate as though they were the conduct of the adviser. References to my adviser include when the act or omission is by the adviser’s delegate.
- » I release, discharge and indemnify each of the Trustee, the Administrator and Mason Stevens and each other persons acting on behalf of them respectively (and all of their respective successors and assignees) from and against all losses, actions, liabilities, claims, demands and proceedings arising from my appointment of an adviser from time to time, and all acts, matters and things done or purported to be done by the adviser even if not actually authorised by me or I have not notified the Trustee of any limitation or revocation of their authority to act on my behalf.

Sign here (Member / Attorney)

Full name

Date

DD / MM / YYYY

Sign here (Member / Attorney)

Full name

Date

DD / MM / YYYY

Please return the completed and signed form to Mason Stevens by:  
 Email: [wealth@masonstevens.com.au](mailto:wealth@masonstevens.com.au) | Fax: 02 8270 0298  
 Post: Mason Stevens Level 26, 420 George Street, Sydney NSW, 2000

