

Change of adviser form

Mason Stevens Limited ABN 91 141 447 207 AFSL 351578

Mason Stevens Super ABN 34 422 545 198 RSE Registration Number R1004168

Trustee: Diversa Trustees Limited ABN 49 006 421 638 RSE License Number L0000635 AFSL License 235153

 **Use this form to change the financial adviser registered against your Mason Stevens account.**

Before you start:

- Is your new financial adviser registered to use the Mason Stevens platform? If not, please contact us.
- Please also submit a completed *Advice fee consent* form to authorise us to pay adviser fees to your new financial adviser.

Account details

1

Account number

Account name

New adviser details

2

The new financial adviser and dealer group must be registered to use the Mason Stevens platform.

Adviser name

Dealer group name

Authorisation and declaration

3

By signing below you acknowledge that:

- » Your adviser has provided you with professional advice that is suitable to your needs, and has included formulation of an investment strategy that has taken into consideration your personal objectives, financial situation and needs.
- » You consent to your adviser receiving information on your behalf.
- » If you have not attached a completed *Advice fee consent* form for this account, your new adviser will not receive any fees.
- » You authorise your adviser to submit investment instructions to the Trustee on your behalf and you authorise and direct the Trustee to act on investment instructions from your adviser including:
 - investment of monies held in your account;
 - switching between investment strategies, investments and investment options;
 - making elections on dividend or distribution re-investment (if applicable); and
 - making elections in the case of corporate actions in respect of investments held (if applicable).
- » Your authorisation to your adviser includes the power to delegate from time to time to one or more staff members employed by the adviser or the same business that employs your adviser, but only on the basis that the adviser remains responsible for the conduct of the adviser's delegate as though they were the conduct of the adviser. References to your adviser include when the act or omission is by the adviser's delegate.



- » You release, discharge and indemnify each of the Trustee, the Administrator and Mason Stevens and each other persons acting on behalf of them respectively (and all of their respective successors and assignees) from and against all losses, actions, liabilities, claims, demands and proceedings arising from your appointment of an adviser from time to time, and all acts, matters and things done or purported to be done by the adviser even if not actually authorised by you or you have not notified Mason Stevens or the Administrator of any limitation or revocation of their authority to act on your behalf.
- » If your new adviser is not registered, fails to register or does not qualify to be registered with Mason Stevens platform and another adviser is not appointed within a reasonable time-frame, we may, at our discretion close your account.
- » Receipt of this form will be taken as authority to remove your previous adviser from your account indicated on this form. Fees will no longer be payable to your previous adviser.

Sign here (Member / Attorney)

Full name

Date

Sign here (Member / Attorney)

Full name

Date

You can return the form to Mason Stevens via email or post.

» **For Super accounts:** Email: admin@mssuper.com.au Mail: PO Box R1237, Royal Exchange NSW 1225

» **For Wealth accounts:** Email: wealth@masonstevens.com.au Mail: Level 26, 420 George Street, Sydney NSW 2000

Diversa Trustees Limited as Trustee of Mason Stevens Super
GPO Box 3001, Melbourne VIC 3001

