Advice fee consent

Mason Stevens Limited ABN 91 141 447 207 AFSL 351578
Mason Stevens Super ABN 34 422 545 198 RSE Registration Number R1004168
Trustee: Diversa Trustees Limited ABN 49 006 421 638 RSE License Number L0000635 AFSL License 235153

Use this form to provide your consent to deduct advice fees from your account for services you will receive from your adviser. You can use this form for the following products:

» **Mason Stevens Global Investment Service**

» **Mason Stevens Super**

Account details 1

Account number	
Account name	
Adviser name	
Adviser contact number	

Initial and one-off advice fees 2

For the avoidance of doubt, please state the GST exclusive amount and the GST inclusive amount below.

Initial and one-off advice fees will be deducted from your Self-Directed Portfolio as a single deduction upon approval of this instruction.

For a Mason Stevens Super account, the initial advice fee must not exceed the lesser of 2.20% of the account balance or $10,000 (GST inclusive) and the cumulative amount of one-off fees over a financial year must not exceed $5,500 (inclusive of GST). Advice fees cannot be deducted from a Mason Stevens Super account if the member's total account balance is $10,000 or lower.

Initial Advice Fee (ex-GST)	**Initial Advice Fee (inc 10% GST)**
$ 0.000	$

One-off fee (ex-GST)	**One-off fee (inc 10% GST)**
$ 0.000	$

Fee arrangement 3

Please specify which legal arrangement this fee will follow.

A. Ongoing advice fee

For a Mason Stevens Super account, the ongoing advice fee cannot exceed 2.50% (GST inclusive) per annum of a member's total account balance held in Mason Stevens Super. Advice fees cannot be deducted from a Mason Stevens Super account if the member's total account balance is $10,000 or lower.

Reference date for ongoing fee arrangement

Insert date when the client's consent for the ongoing fee arrangement ends (ie. the next reference date, which does not include the 150-day period) DD / MM / YYYY

OR

B. Fixed term fee

For a Mason Stevens Super account, the fixed term advice fee cannot exceed 2.50% (GST inclusive) per annum of a member's total account balance held in Mason Stevens Super. Advice fees cannot be deducted from a Mason Stevens Super account if the member's total account balance is $10,000 or lower.

Fixed term fee arrangements cannot exceed 12 months.

Start date DD / MM / YYYY End date DD / MM / YYYY

Advice fee calculation 4

Based on the arrangement selected in Section 3, please specify how the ongoing or fixed term fee will be calculated. Fees will be deducted from your Self-Directed Portfolio monthly in arrears.

For a Mason Stevens Super account, the ongoing advice or fixed term fee cannot exceed 2.50% (GST inclusive) per annum of a member's total account balance held in Mason Stevens Super. Advice fees cannot be deducted from a Mason Stevens Super account if the member's total account balance is $10,000 or lower.

☐ Charge an annual flat dollar fee

Portfolio	Dollar amount (ex-GST) pa	Dollar amount (inc 10% GST) pa	Estimated monthly fee (inc 10% GST)
Self-Directed Portfolio	$ 0.000	$	$

If you elect to charge a flat dollar amount, you cannot also charge a percentage fee on the Self-Directed Portfolio below.

AND/OR

☐ Charge a percentage fee as outlined below:
(you can only charge a percentage fee on the Self-Directed Portfolio if you have not already charged a flat fee above.)

Portfolio	Rate (ex-GST) pa	Rate (inc 10% GST) pa	Estimated balance	Estimated monthly fee (inc 10% GST)
Self-Directed Portfolio	0.0000 %	%	$	$
Managed Portfolios	0.0000 %	%	$	$
Banking Products	0.0000 %	%	$	$

Total estimated monthly advice fee	$ 0.00
Total estimated annual advice fee	$

OR

☐ Charge a tiered percentage fee on the total balance of the account

Estimated portfolio balance: $

From	To	Rate (ex-GST) pa	Rate (inc 10% GST) pa
$	$	%	%
$	$	%	%
$	$	%	%
$	$	%	%
$	$	%	%
$	$	%	%

Estimated monthly fee	$
Estimated annual fee	$

Portfolios to exclude from the tiered fee arrangement:

This may include Self Directed Portfolio, Managed Accounts portfolio.

☐ Optional monthly charge in addition to tiered adviser fees:

Fixed monthly fee (ex GST): $ 0.000 Fixed monthly fee (inc GST): $

Types of services 5

Please select all of the services that the client will be entitled to receive from the adviser over the next 12 months. Please note that we cannot accept instructions to deduct advice fees from a member's account for the provision of general advice.

- ☐ Review of your portfolio
- ☐ Strategic superannuation advice
- ☐ Investment advice
- ☐ Contribution strategy
- ☐ Withdrawal advice
- ☐ Investment/Account management
- ☐ Settlement planning
- ☐ Estate planning
- ☐ Protection strategy
- ☐ Withdrawals/Benefit payments
- ☐ Other

Your consent 6

By signing this form you provide us your consent to deduct the above advice fees from the account specified in section 1, and pay them to your adviser.

If you are providing consent on your Mason Stevens Super account, the superannuation benefits that you are entitled to in your Mason Stevens Super account may be reduced as a result of the advice fees being paid to your adviser. Ongoing advice fees you instruct us to pay will be deducted from the Self-Directed Portfolio of your account, for the next 12 months from the reference date and will cease 150 days after the next reference date unless you renew your instructions. Fixed term advice fees you instruct us to pay will be deducted from the Self-Directed Portfolio of your account, for the duration indicated (which cannot exceed a maximum of 12 months) on this form unless you renew your instructions. You can withdraw your consent to pay advice fees at any time by providing us with written instructions. By signing this form, you also consent to the Trustee and Mason Stevens obtaining from your nominated adviser a copy of the Statement of Advice and any other documentation issued to you in relation to your Mason Stevens Super account as part of its ongoing monitoring obligations to ensure compliance with the sole purpose test.

It is you and your adviser's responsibility to ensure the fees indicated on this form accurately reflect the agreement you and your adviser have in place for the services you are receiving. Mason Stevens will act on the instructions provided on this form and is not responsible for any discrepancies between these instructions and your agreement with the adviser.

Signature

Signature

Date

DD / MM / YYYY

Date

DD / MM / YYYY

Your adviser can upload a scanned copy of the signed form online or return the form to Mason Stevens via email or post.

» **For Super accounts:** Email: admin@mssuper.com.au
Mail: PO Box R1237, Royal Exchange NSW 1225

» **For Wealth accounts:** Email: wealth@masonstevens.com.au
Mail: Level 26, 420 George Street, Sydney NSW 2000

Diversa Trustees Limited as Trustee of Mason Stevens Super
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