

Advice fee consent

Mason Stevens Limited ABN 91 141 447 207 AFSL 351578

Mason Stevens Super ABN 34 422 545 198 RSE Registration Number R1004168

Trustee: Diversa Trustees Limited ABN 49 006 421 638 RSE License Number L0000635 AFSL License 235153

Use this form to provide your consent to deduct advice fees from your account for services you will receive from your adviser. You can use this form for the following products:

- » **Mason Stevens Global Investment Service**
- » **Mason Stevens Super**

Account details

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Account number	<input type="text"/>
Account name	<input type="text"/>
Adviser name	<input type="text"/>
Adviser contact number	<input type="text"/>

Advice fees

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For the avoidance of doubt, please state the GST exclusive amount and the GST inclusive amount below. If reduced input tax credit (RITC) is applicable, we will apply GST net the effect of such RITC to the GST exclusive amount you indicate below when deducting the fee from the client's account.

You can instruct us to pay a one-off advice fee or an ongoing advice fee. Please complete sections A and/or B. Fees will be deducted from your Self-Directed Portfolio monthly in arrears.

A. Initial Advice Fee and one-off (ad hoc) fee

For a Mason Stevens Super account, the initial advice fee must not exceed \$10,000 (GST inclusive) and the cumulative amount of one-off fees over a financial year must not exceed \$5,500 (inclusive of GST).

Initial Advice Fee (ex-GST)	Initial Advice Fee (inc 10% GST)
\$ <input type="text"/>	\$ <input type="text"/>
One-off fee (ex-GST)	One-off fee (inc 10% GST)
\$ <input type="text"/>	\$ <input type="text"/>

B. Ongoing advice fee

For a Mason Stevens Super account, the ongoing advice fee cannot exceed 2.50% (GST inclusive) of a member's total account balance held in Mason Stevens Super.

Reference date for ongoing fee arrangement

Insert date when the client's consent for the ongoing fee arrangement ends (ie. the next reference date, which does not include the 150-day period)

☐ Charge an annual flat dollar fee

Portfolio	Dollar amount (ex-GST) pa	Dollar amount (inc 10% GST) pa	Estimated monthly fee (inc 10% GST)
Self-Directed Portfolio	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

If you elect to charge a flat dollar amount, you cannot also charge a percentage fee on the Self-Directed Portfolio below.



OR

☐ Charge a percentage fee as outlined below:

Portfolio	Rate (ex-GST) pa	Rate (inc 10% GST) pa	Estimated balance	Estimated monthly fee (inc 10% GST)
Self-Directed Portfolio	<input type="text"/> %	<input type="text"/> %	\$ <input type="text"/>	\$ <input type="text"/>
Managed Portfolios	<input type="text"/> %	<input type="text"/> %	\$ <input type="text"/>	\$ <input type="text"/>
Banking Products	<input type="text"/> %	<input type="text"/> %	\$ <input type="text"/>	\$ <input type="text"/>
Total estimated monthly advice fee				\$ <input type="text"/>
Total estimated annual advice fee				\$ <input type="text"/>

Types of services

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Please select all of the services that the client will be entitled to receive from the adviser over the next 12 months. Please note that we cannot accept instructions to deduct advice fees from a member's account for the provision of general advice.

- | | |
|--|--|
| <input type="checkbox"/> Review of your portfolio | <input type="checkbox"/> Investment/Account management |
| <input type="checkbox"/> Strategic superannuation advice | <input type="checkbox"/> Settlement planning |
| <input type="checkbox"/> Investment advice | <input type="checkbox"/> Estate planning |
| <input type="checkbox"/> Contribution strategy | <input type="checkbox"/> Protection strategy |
| <input type="checkbox"/> Withdrawal advice | <input type="checkbox"/> Withdrawals/Benefit payments |
| <input type="checkbox"/> Other | |

Your consent

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By signing this form you provide us your consent to deduct the above advice fees and pay them to your adviser.

If you are providing consent on your Mason Stevens Super account, the superannuation benefits that you are entitled to in your Mason Stevens Super account may be reduced as a result of the advice fees being paid to your adviser. Ongoing advice fees you instruct us to pay will be deducted from the Self-Directed Portfolio of your account, for the next 12 months from the reference date and will cease 150 days after the next reference date unless you renew your instructions. You can withdraw your consent to pay ongoing advice fees at any time by providing us with written instructions.

Signature

Signature

Date

DD / MM / YYYY

Date

DD / MM / YYYY

Your adviser can upload a scanned copy of the signed form online or return the form to Mason Stevens via email or post.

- » **For Super accounts:** Email: admin@mssuper.com.au
Mail: PO Box R1237, Royal Exchange NSW 1225
- » **For Wealth accounts:** Email: wealth@masonstevens.com.au
Mail: Level 26, 420 George Street, Sydney NSW 2000

Diversa Trustees Limited as Trustee of Mason Stevens Super
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